

# NOTICE

## **TOWNSHIP OF EAST HANOVER REQUEST FOR QUALIFICATIONS AND PROPOSALS FOR PROVISION OF SERVICES FOR CALENDAR YEAR 2021**

The Township of East Hanover (the “Township”) is soliciting Qualification Statements from interested persons and/or firms for the provision of the services listed below. Through a Request for Qualifications (“RFQ”) process, persons and/or firms interested in assisting the Township with the provision of such services must prepare and submit a Qualification Statement in accordance with the procedure and schedule in the RFQs. The Township will review Qualification Statements only from those firms that submit a Qualification Statement which includes all the information required to be included as described (in the sole judgment of the Township). The Township intends to qualify (a) person(s) and/or firm(s) that (a) possess(es) the professional, financial and administrative capabilities to provide the proposed services, and (b) agrees and meets the terms and conditions determined by the Township that provide the greatest benefit to the taxpayers of Township of East Hanover.

The selection of Qualified Respondents is not subject to the bidding provisions of the Local Public Contracts Law, N.J.S.A. 40A:11-1 et seq. The selection is subject to the New Jersey Local Unit Pay-to-Play Law (the “Law”), N.J.S.A. 19:44A-20.4 et seq., however, the Township has structured a procurement process that seeks to obtain the desired results, while establishing a “fair and open” process under the Law, to assure that each person and/or firm is provided an equal opportunity to submit a Qualification Statement in response to the RFQ.

**Qualification Statements must be submitted to, and be received by Joseph Tempesta, Jr., Township Administrator, Township of East Hanover, 411 Ridgedale Avenue, East Hanover, New Jersey 07936 on or before 4:00 p.m. on December 15, 2020. Qualification Statements will not be accepted by facsimile transmission or e-mail. If submitting responses in person please note that you must place your responses in a clearly marked bin at the rear entrance of the East Hanover Municipal Building, 411 Ridgedale Avenue East Hanover, NJ 07936. If you prefer to have a receipt of your hand delivered response, upon arriving kindly call East Hanover Deputy Clerk, Felicia McDonald at 973-888-6006 she will meet you at the rear of the building. Otherwise submit your hand delivered response to the Bin clearly marked: RFP responses and the Bin will be emptied at 4:00 p.m. on December 15, 2020. No submittals will be accepted after 4:00 p.m. on December 15, 2020. The responses will be publicly opened via virtual meeting on December 18, 2020 at 10:00 a.m. in the East Hanover Municipal Building Public Meeting Room 411 Ridgedale Avenue East Hanover and will be reviewed at the convenience of the Review committee between December 18th and December 31, 2020. A few days before the 18<sup>th</sup> of December, East Hanover will post the access information to the virtual meeting on The Township of East Hanover’s website, easthanovertownship.com**

**PROPOSALS FOR SERVICES/CONTRACTS IN THE FOLLOWING CATEGORIES:**

1. Consulting Engineer including Water and Sewer
2. Bond Counsel
3. Bond Underwriting
4. Township Planner
5. Labor Counsel
6. Township Attorney
7. Special Tax Counsel
8. Special Counsel
9. Municipal Auditor
10. Land Use Planning Board Attorney
11. Public Defender
12. Township Appraiser
13. Township Risk Management Consultant to Morris JIF
14. Township Health Insurance Consultant for Medical, Prescription, and Dental

Qualification Statements must be submitted in the manner designated in the Instructions, must be enclosed in sealed envelopes bearing name and address of the bidder and the name of the **CATEGORY OF WORK** on the outside, addressed to the Township of East Hanover.

Interested respondents must continue to monitor this website until **4:00 p.m. on December 15, 2020**, as the Township reserves the right to issue Addenda to the RFQ documents in this space. You will find links to the RFQ documents below.

<http://www.easthanovertownship.com/>

**BY ORDER OF THE MAYOR OF THE TOWNSHIP OF EAST HANOVER**

**Joseph Tempesta, Jr.**

**Township Administrator**

**Township of East Hanover**

**411 Ridgedale Avenue**

**East Hanover, New Jersey 07936**

**NOTE: The Township of East Hanover will consider proposals only from firms or organizations that have demonstrated the capability and willingness to provide high quality services in the manner described in this Request for Qualifications.**

**REQUEST FOR QUALIFICATIONS  
FOR THE PROVISION OF PROFESSIONAL SERVICES FOR CALENDAR YEAR 2019**

**ISSUE DATE: November 12, 2020**

**DUE DATE: December 15, 2020@ 4:00 p.m.**

**Issued by: Joseph Tempesta, Jr. East Hanover Township Business Administrator  
Township of East Hanover**

**GLOSSARY**

The following definitions shall apply to and are used in this Request for Qualifications:

"Township" - refers to the Township of East Hanover.

"Qualification Statement" - refers to the complete responses to this RFQ submitted by the Respondents.

"Qualified Respondent" - refers to those Respondents who (in the sole judgment of the Township) have satisfied the qualification criteria set forth in this RFQ.

"RFQ" - refers to this Request for Qualifications, including any amendments thereof or supplements thereto.

"Respondent" or "Respondents" - refers to the interested firm(s) that submit a Qualification Statement.

## **SECTION 1 INTRODUCTION AND GENERAL INFORMATION**

### **1.1. Introduction and Purpose.**

The Township is soliciting Qualification Statements from interested persons and/or firms for the provision of services, as more particularly described herein. Through a Request for Qualification process described herein, persons and/or firms interested in assisting the Township with the provision of such services must prepare and submit a Qualification Statement in accordance with the procedures and schedules in this RFQ. The Township will review Qualification Statements only from those firms that submit a Qualification Statement which includes all the information required to be included as described herein (in the sole judgment of the Township). The Township intends to qualify person(s) and/or firm(s) that: (a) possesses the professional, financial and administrative capabilities to provide the proposed services; and (b) will agree to work under the compensation terms and conditions determined by the Township to provide the greatest benefit to the taxpayers of the Township of East Hanover.

### **1.2. Procurement Process and Schedule.**

The selection of Qualified Respondents is not subject to the bidding provisions of the Local Public Contracts Law, N.J.S.A. 40A:11-1 et seq. The selection is subject to the New Jersey Local Unit Pay-to-Play Law, N.J.S.A. 19:44A-20.4 et seq. The Township has structured a procurement process that seeks to obtain the desired results described above, while establishing a competitive process to assure that each person and/or firm is provided an equal opportunity to submit a Qualification Statement in response to the RFQ. Qualification Statements will be evaluated in accordance with the criteria set forth in Section 3 of this RFQ, which will be applied in the same manner to each Qualification Statement received.

Respondents agree to at all times abide by all requirements of New Jersey law, including, but not limited to the aforementioned Pay to Play laws, as well as any and all relevant Executive Orders and the New Jersey Election Law Enforcement Commission disclosure requirements.

Qualification Statements will be reviewed and evaluated by the Township and its legal and/or financial advisors (collectively, the "Review Team"). The Qualification Statements will be reviewed to determine if the Respondent has met the minimum professional, administrative and financial criteria described in this RFQ. Under no circumstances will a member of the review team review responses to an RFQ for a job which they or their firm submitted a response. Based upon the totality of the information contained in the Qualification Statement, including information about the reputation and experience of each Respondent, the Township will (in its sole judgment) determine which Respondents are qualified from professional, administrative and financial standpoints. Each Respondent that meets the requirements of the RFQ (in the sole judgment of the Township) will be designated as a Qualified Respondent and will be given the opportunity to participate in the selection process determined by the Township.

The RFQ process commences with the issuance of this RFQ. The steps involved in the process and the anticipated completion dates are set forth in Table 1, Procurement Schedule. The Township reserves the right to, among other things, amend, modify or alter the Procurement Schedule upon notice to all potential Respondents.

All communications concerning this RFQ or the RFQ process shall be directed to the Township's Designated Contact Person, in writing.

#### **Designated Contact Person:**

Joseph Tempesta, Jr.  
Township Administrator  
Township of East Hanover  
411 Ridgedale Avenue  
East Hanover, New Jersey 07936

**Qualification Statements must be submitted to, and be received by, the Township, via mail or hand delivery, by 4:00 p.m. Prevailing Time on December 15, 2020. Qualification Statements will not be accepted by facsimile transmission or e-mail.**

Subsequent to issuance of this RFQ, the Township (through the issuance of addenda to all firms that have received a copy of the RFQ) may modify, supplement or amend the provisions of this RFQ in order to respond to inquiries received from prospective Respondents or as otherwise deemed necessary or appropriate by (and in the sole judgment of) the Township.

**TABLE 1  
ANTICIPATED PROCUREMENT SCHEDULE  
ACTIVITY DATE**

1. Issuance of Request for Qualifications **November 12, 2020**
2. Receipt of Qualification Statements **December 15, 2020– 4:00 p.m.**
3. Opening of Proposals at East Hanover Town Hall **December 18, 2020 at 10:00 a.m.**
4. **Completion of Evaluation of Qualification Statements on or before December 31, 2020 by the Review Team.**
5. **Township Review of Review Team Recommendations - December 18-31, 2020**
6. **Approval of Professional Services Resolutions by Township Council on or after Township of East Hanover 2021 Annual Re-organization Meeting**

**Section 1.3. Conditions Applicable to RFQ.**

Upon submission of a Qualification Statement in response to this RFQ, the Respondent acknowledges and consents to the following conditions relative to the submission and review and consideration of its Qualification Statement:

- This document is an RFQ and does not constitute an RFP. • This RFQ does not commit the Township to issue an RFP.
- All costs incurred by the Respondent in connection with responding to this RFQ shall be borne solely by the Respondent.
- The Township reserves the right (in its sole judgment) to reject for any reason any and all responses and components thereof and to eliminate any and all Respondents responding to this RFQ from further consideration for this procurement.
- The Township reserves the right (in its sole judgment) to reject any Respondent that submits incomplete responses to this RFQ, or a Qualification Statement that is not responsive to the requirements of this RFQ.
- The Township reserves the right, without prior notice, to supplement, amend, or otherwise modify this RFQ, or otherwise request additional information.
- All Qualification Statements shall become the property of the Township and will not be returned.
- All Qualification Statements will be made available to the public at the appropriate time, as determined by the Township (in the exercise of its sole discretion) in accordance with applicable law.
- The Township may request Respondents to send representatives to the Township for interviews.
- All Qualification Statements not received by the Township by **4:00 p.m. Prevailing Time on December 15, 2020 will be rejected.**
- Neither the Township, nor their respective staffs, consultants or advisors (including but not limited to the Review Team) shall be liable for any claims or damages resulting from the solicitation or preparation of the Qualification Statement, nor will there be any reimbursement to Respondents for the cost of preparing and submitting a Qualification Statement or for participating in this procurement process.

#### **Section 1.4. Rights of Township.**

The Township reserves, holds and may exercise, at its sole discretion, the following rights and options with regard to this RFQ and the procurement process in accordance with the provisions of applicable law:

- To determine that any Qualification Statement received complies or fails to comply with the terms of this RFQ.
  - To supplement, amend or otherwise modify the RFQ through issuance of addenda to all prospective Respondents who have received a copy of this RFQ.
  - To waive any technical non-conformance with the terms of this RFQ. • To change or alter the schedule for any events called for in this RFQ upon the issuance of notice to all prospective Respondents who have received a copy of this RFQ.
  - To conduct investigations of any or all of the Respondents, as the Township deems necessary or convenient, to clarify the information provided as part of the Qualification Statement and to request additional information to support the information included in any Qualification Statement.
  - To suspend or terminate the procurement process described in this RFQ at any time in its sole discretion. If terminated, the Township may determine to commence a new procurement process or exercise any other rights provided under applicable law without any obligation to the Respondents.
- The Township shall be under no obligation to complete all or any portion of the procurement process described in this RFQ.

#### **1.5 Addenda or Amendments to RFQ.**

During the period provided for the preparation of responses to the RFQ, the Township may issue addenda, amendments or answers to written inquiries. Those addenda will be noticed by the Township and will constitute a part of the RFQ. All responses to the RFQ shall be prepared with full consideration of the addenda issued prior to the proposal submission date.

#### **1.6 Cost of Proposal Preparation.**

Each proposal and all information required to be submitted pursuant to the RFQ shall be prepared at the sole cost and expense of the Respondent. There shall be no claims whatsoever against the Township, its staff or consultants for reimbursement for the payment of costs or expenses incurred in the preparation of the Qualification Statement or other information required by the RFQ.

#### **1.7 Proposal Format.**

Responses should cover all information requested in the Questions to be answered in this RFQ.

Responses which in the judgment of the Township fail to meet the requirements of the RFQ or which are in any way conditional, incomplete, obscure, contain additions or deletions from requested information, or contain errors, may be rejected.

## **SECTION 2 SCOPE OF SERVICES**

It is the intent of the Township to solicit Qualification Statements from Respondents that have expertise in the field of the services sought. Firms and/or persons responding to this RFQ shall be able to demonstrate that they will have the continuing capabilities to perform these services. **SECTION 3**

### **SUBMISSION REQUIREMENTS**

#### **Section 3.1 General Requirements.**

The Qualification Statement submitted by the Respondent must meet or exceed the professional, administrative and financial qualifications set forth in this Section 3 and shall incorporate the information requested below.

In addition to the information required as described below, a Respondent may submit supplemental information that it feels may be useful in evaluating its Qualification Statement. Respondents are encouraged to be clear, factual, and concise in their presentation of information.

#### **Section 3.2 Administrative Information Requirements.**

The Respondent shall, as part of its Qualification Statement, provide the following information:

1. An executive summary (not to exceed two (2) pages) of the information contained in all the other parts of the Qualification Statement.
2. An executed Letter of Qualification (See Appendix A to this RFQ).
3. Name, address and telephone number of the firm or firms submitting the Qualification Statement pursuant to this RFQ, and the name of the key contact person.
4. A description of the business organization (i.e., corporation, partnership, joint venture, etc.) of each firm, its ownership and its organizational structure.
  - (a) Provide the names and business addresses of all Principals of the firm or firms submitting the Qualification Statement. For purposes of this RFQ, "Principals" means persons possessing an ownership interest in the Respondent. If the Respondent is a corporation, "Principals" shall include each investor who would have any amount of operational control over the Respondent and every stockholder having an ownership interest of ten percent (10%) or more in the firm.
  - (b) If a firm is a partially owned or a fully-owned subsidiary of another firm, identify the parent company and describe the nature and extent of the parents' approval rights over the activities of the firm submitting a Qualification Statement. Describe the approval process.
  - (c) If the Respondent is a partnership or a joint venture or similar organization, provide comparable information as required in (b) above for each member of the partnership, joint venture or similar organization.
  - (d) A statement that the Respondent has complied with all applicable affirmative action (or similar) requirements with respect to its business activities, together with evidence of such compliance
5. An executed Letter of Intent (See Appendix B).
6. An executed Payment Conditions and Rate Schedules Form (See Appendix C).
7. The number of years your organization has been in business under the present name.
8. The number of years the business organization has been under the current management.
9. Any judgments, claims or suits within the last three (3) years in which Respondent has been adjudicated liable for professional malpractice. If yes, please explain.
10. Whether the business organization is now or has been involved in any bankruptcy or re-organization proceedings in the last ten (10) years. If yes, please explain.
11. Confirm appropriate federal and state licenses to perform activities. **Submit a copy of the Firm's Business Registration Certificate.**

### **Section 3.3 Professional Information Requirements.**

a. Respondent shall submit a description of its overall experience in providing the type of services sought in the RFQ. At a minimum, the following information on past experience should be included as appropriate to the RFQ:

1. Description and scope of work by Respondent;
2. Name, address and contact information of references; and
3. Explanation of perceived relevance of the experience to the RFQ.

b. Describe the services that Respondent would perform directly.

c. Describe those portions of the Respondent's services, if any, that are sub-contracted out. Identify all subcontractors the Respondent anticipates using in connection with this project.

d. Does the Respondent normally employ union or non-union employees?

e. Resumes of key employees

f. A narrative statement of the Respondent's understanding of the Township's needs and goals.

g. List all immediate relatives of Principal(s) of Respondent who are Township employees or elected officials of the Township. For purposes of the above, "immediate relative" means a spouse, parent, stepparent, brother, sister, child, stepchild, direct-line aunt or uncle, grandparent, grandchild, and in-laws by reason of relation.

## **SECTION 4**

### **INSTRUCTIONS TO RESPONDENTS**

#### **4.1 Submission of Qualification Statements.**

**Respondents must submit an original and one (1) hard copies of their Qualification Statement as well as two (2) electronic versions on CD/DVD medium to the Designated Contact Person:**

Joseph Tempesta, Jr.  
Township Administrator  
Township of East Hanover  
411 Ridgedale Avenue  
East Hanover, New Jersey 07936

**Qualification Statements must be received by the Township no later than 4:00 p.m. (prevailing time) on December 15, 2020 and** must be mailed or hand delivered. Qualification Statements forwarded by facsimile or e-mail will not be accepted.

To be responsive, Qualification Statements must provide all requested information, and must be in strict conformance with the instructions set forth herein. Qualification Statements and all related information must be bound, and signed and acknowledged by the Respondent.

## **SECTION 5**

### **EVALUATION**

The Township's objective in soliciting Qualification Statements is to enable it to select a firm or organization that will provide high quality and cost effective services to the citizens of the Township of East Hanover. The Township will consider Qualification Statements only from firms or organizations that, in the Township's judgment, have demonstrated the capability and willingness to provide high quality services to the citizens of the Township of East Hanover in the manner described in this RFQ. Proposals will be evaluated by the Township on the basis of the most advantageous, all relevant factors considered. The evaluation will consider:

1. Experience and reputation in the field;
2. Knowledge of the Township and the subject matter addressed under the contract;
3. Availability to accommodate the required meetings of the Township; and
4. Other factors demonstrated to be in the best interest of the Township.

**APPENDIX A  
LETTER OF QUALIFICATION**

**(Note: To be typed on Respondent's Letterhead. No modifications may be made to this letter)**

[insert date]  
Joseph Tempesta, Jr.  
Township Administrator  
Township of East Hanover  
411 Ridgedale Avenue  
East Hanover, New Jersey 07936

Dear Mr. Tempesta:

The undersigned have reviewed our Qualification Statement submitted in response to the Request for Qualifications (RFQ) issued by the Township of East Hanover ("Township") **November 12, 2020, and due by December 15, 2020, 4:00 p.m. in connection with the Township's need for (Type of Services).**

We affirm that the contents of our Qualification Statement (which Qualification Statement is incorporated herein by reference) are accurate, factual and complete to the best of our knowledge and belief and that the Qualification Statement is submitted in good faith upon express understanding that any false statement may result in the disqualification of (Name of Respondent).

(Respondent shall sign and complete the spaces provided below. If a joint venture, appropriate officers of each company shall sign.)

(Signature of Chief  
Executive Officer)  
(Typed Name and Title)  
(Type Name of Firm)

Dated: \_\_\_\_\_

**APPENDIX B  
LETTER OF INTENT**

**(Note: To be typed on Respondent's Letterhead. No modifications may be made to this letter)**

[insert date]

Joseph Tempesta, Jr.  
Township Administrator  
Township of East Hanover  
411 Ridgedale Avenue  
East Hanover, New Jersey 07936

Dear Mr. Tempesta:

The undersigned, as Respondent, has (have) submitted the attached Qualification Statement in response to a Request for Qualifications (RFQ), issued by the Township of East Hanover ("Township"), dated **November 12 2020** in connection with the Township's need for (Type of Services).

(Name of Respondent) HEREBY STATES:

1. The Qualification Statement contains accurate, factual and complete information.
2. (Name of Respondent) agrees (agrees) to participate in good faith in the procurement process as described in the RFQ and to adhere to the Township's procurement schedule.
3. (Name of Respondent) acknowledges (acknowledge) that all costs incurred by it (them) in connection with the preparation and submission of the Qualification Statement and any proposal prepared and submitted in response to the RFQ, or any negotiation which results there from shall be borne exclusively by the Respondent.
4. (Name of Respondent) hereby declares (declare) that the only persons participating in this Qualification Statement as Principals are named herein and that no person other than those herein mentioned has any participation in this Qualification Statement or in any contract to be entered into with respect thereto. Additional persons may subsequently be included as participating Principals, but only if acceptable to the Township. (Name of Respondent) declares that this Qualification Statement is made without connection with any other person, firm or parties who has submitted a Qualification Statement, except as expressly set forth below and that it has been prepared and has been submitted in good faith and without collusion or fraud.
6. (Name of Respondent) acknowledges and agrees that the Township may modify, amend, suspend and/or terminate the procurement process (in its sole judgment). In any case, the Township shall have any liability to the Respondent for any costs incurred by the Respondent with respect to the procurement activities described in this RFQ.

7. (Name of Respondent) acknowledges that any contract executed with respect to the provision of [insert services] must comply with all applicable affirmative action and similar laws. Respondent hereby agrees to take such actions as are required in order to comply with such applicable laws.

(Respondent shall sign and complete the space provided below. If a joint venture, appropriate officers of each company shall sign.)

(Signature of Chief Executive Officer)

(Typed Name and Title)

(Type Name of Firm)

Dated: \_\_\_\_\_

**APPENDIX C  
PAYMENT CONDITIONS AND RATE SCHEDULES**

**(Note: To be typed on Respondent's Letterhead. No modifications may be made to this letter)**

[insert date]

Joseph Tempesta, Jr.  
Township Administrator  
Township of East Hanover  
411 Ridgedale Avenue  
East Hanover, New Jersey 07936

Dear Mr. Tempesta:

The undersigned, as Respondent, has (have) proposes the hourly rate compensation for the term of one (1) year (12 months), however is negotiable:

1. The hourly rate for Services shall be (Amount of hourly compensation).
2. Respondent shall be reimbursed at cost for expenses incurred for duplication, filing fees, court reporters and other reasonable out-of-pocket expenses advanced and/or incurred. Respondent shall be reimbursed for direct charges including disbursements that are actual expenses incurred by the Professional and or/their associated Firm in connection with such services, and shall include, but not be limited to:
  - a. Telegrams, faxes and long distance (Out-of-State) telephone calls;
  - b. Payment of filing, application fees, review fees and similar charges;
  - c. Computer expenses including time and proprietary program charges;
  - d. Outside printing, reproduction, binding, collating and other graphic services;
  - e. Messenger service, postage and handling of drawing and specifications, reports, contracts and other bulky items.
3. Monthly invoices shall be submitted detailing all services performed.

**Signature: The undersigned hereby acknowledges and accepts the established payment terms.**

Name of Respondent/Firm \_\_\_\_\_

Print name and title: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

ATTEST:

\_\_\_\_\_  
Witness

**APPENDIX D**

**DISCLOSURE OF INVESTMENT ACTIVITIES IN IRAN**

OPS Number: Proposer:

Pursuant to Public Law 2012, c. 25, any person or entity that submits a bid or proposal or otherwise proposes to enter into or renew a contract must complete the certification below to attest, under penalty of perjury, that the person or entity, or one of the person or entity's parents, subsidiaries, or affiliates, is not identified on a list created and maintained by the New Jersey Department of the Treasury as a person or entity engaging in investment activities in Iran. If the Director finds a person or entity to be in violation of the principles which are the subject of this law, s/he shall take action as may be appropriate and provided by law, rule or contract, including but not limited to, imposing sanctions, seeking compliance, recovering damages, declaring the party in default and seeking debarment or suspension of the person or entity.

**I certify, pursuant to Public Law 2012, c. 25, that the person or entity listed above for which I am authorized to submit a proposal:**

is not providing goods or services of \$20,000,000 or more in the energy sector of Iran, including a person or entity that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran, **AND**

is not a financial institution that extends \$20,000,000 or more in credit to another person or entity, for 45 days or more, if that person or entity will use the credit to provide goods or services in the energy sector in Iran.

**In the event that a person or entity is unable to make the above certification because it or one of its parents, subsidiaries, or affiliates has engaged in the above-referenced activities, a detailed, accurate and precise description of the activities must be provided in part 2 below to the New Jersey Turnpike Authority under penalty of perjury. Failure to provide such will result in the proposal being rendered as non-responsive and appropriate penalties, fines and/or sanctions will be assessed as provided by law.**

**PART 2: PLEASE PROVIDE FURTHER INFORMATION RELATED TO INVESTMENT ACTIVITIES IN IRAN**

**You must provide a detailed, accurate and precise description of the activities of the proposer, or one of its parents, subsidiaries or affiliates, engaging in the investment activities in Iran outlined above by completing the boxes below.**

Name: \_\_\_\_\_ Relationship to Proposer: \_\_\_\_\_

Description of Activities: \_\_\_\_\_

Duration of Engagement: \_\_\_\_\_ Anticipated Cessation Date: \_\_\_\_\_

Proposer Contact Name: \_\_\_\_\_ Contact Phone Number: \_\_\_\_\_

**Certification: I, being duly sworn upon my oath, hereby represent and state that the foregoing information and any attachments thereto to the best of my knowledge are true and complete. I attest that I am authorized to execute this certification on behalf of the above-referenced person or entity. I acknowledge that the State of New Jersey is relying on the information contained herein and thereby acknowledge that I am under a continuing obligation from the date of this certification through the completion of any contracts with the State to notify the State in writing of any changes to the answers of information contained herein. I acknowledge that I am aware that it is a criminal offense to make a false statement or misrepresentation in this certification, and if I do so, I recognize that I am subject to criminal prosecution under the law and that it will also constitute a material breach of my agreement(s) with the State of New Jersey and that the State at its option may declare any contract(s) resulting from this certification void and unenforceable.**

Full Name: Signature:

Title: Date:

**APPENDIX E**  
**STATEMENT OF OWNERSHIP**  
**(OWNERSHIP DISCLOSURE CERTIFICATION)**

N.J.S.A. 52:25-24.2 (P.L. 1977, c.33, as amended by P.L. 2016, c.43)

**This Statement Shall Be Included with All Bid and Proposal Submissions**

**Name of Business:** \_\_\_\_\_

**Address of Business:** \_\_\_\_\_

**Name of person completing this form:** \_\_\_\_\_

**N.J.S.A. 52:25-24.2:**

"No corporation, partnership, or limited liability company shall be awarded any contract nor shall any agreement be entered into for the performance of any work or the furnishing of any materials or supplies, unless prior to the receipt of the bid or proposal, or accompanying the bid or proposal of said corporation, said partnership, or said limited liability company there is submitted a statement setting forth the names and addresses of all stockholders in the corporation who own 10 percent or more of its stock, of any class, or of all individual partners in the partnership who own a 10 percent or greater interest therein, or of all members in the limited liability company who own a 10 percent or greater interest therein, as the case may be. If one or more such stockholder or partner or member is itself a corporation or partnership or limited liability company, the stockholders holding 10 percent or more of that corporation's stock, or the individual partners owning 10 percent or greater interest in that partnership, or the members owning 10 percent or greater interest in that limited liability company, as the case may be, shall also be listed. The disclosure shall be continued until names and addresses of every noncorporate stockholder, and individual partner, and member, exceeding the 10 percent ownership criteria established in this act, has been listed. To comply with this section, a bidder with any direct or indirect parent entity which is publicly traded may submit the name and address of each publicly traded entity and the name and address of each person that holds a 10 percent or greater beneficial interest in the publicly traded entity as of the last annual filing with the federal Securities and Exchange Commission or the foreign equivalent, and, if there is any person that holds a 10 percent or greater beneficial interest, also shall submit links to the websites containing the last annual filings with the federal Securities and Exchange Commission or the foreign equivalent and the relevant page numbers of the filings that contain the information on each person that holds a 10 percent or greater beneficial interest."